

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/874,982	06/07/2001	Itomi Homma	209551US2	5546
22850 75	590 09/20/2004		EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET			HU, JINSONG	
ALEXANDRIA		•	ART UNIT	PAPER NUMBER
			2154	
			DATE MAILED: 09/20/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action O	09/874,982	HOMMA ET AL.				
Office Action Summary	Examiner	Art Unit				
	Jinsong Hu	2154				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the co	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	6(a). In no event, however, may a reply be tim within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from to cause the application to become ABANDONED	ely filed will be considered timely. the mailing date of this communication. (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 05 Au	<u>igust 2004</u> .	•				
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This	a) ☐ This action is <b>FINAL</b> . 2b) ☑ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims						
4) ☐ Claim(s) 1-21 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-21 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or						
Application Papers						
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) acceed a Applicant may not request that any objection to the december of Replacement drawing sheet(s) including the correction of the oath or declaration is objected to by the Examiner.	epted or b) objected to by the E frawing(s) be held in abeyance. See on is required if the drawing(s) is obje	37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119	``					
12) △ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) △ All b) ☐ Some * c) ☐ None of:  1. △ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary (Interview					

## **DETAILED ACTION**

1. Claims 1-21 are presented for examination.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-21 are rejected under 35 U.S.C. 102(e) as being anticipated by Berke (US 6,629,092).
- 4. As per claim 1, Berke teaches the invention as claimed including an article information providing system [col. 1, lines 5-12], comprising:

a portable terminal [col. 4, line 66 – col. 5, line 2] to which an information demander inputs a desire for an article as demand information, and receiving information corresponding to said demand information from an information provider [12, 14, Fig. 1; col. 2, lines 38-45; col. 3, lines 23-25; col. 5, lines 26-30];

Art Unit: 2154

a mediate apparatus [8 & 10, Fig. 1; col. 4, lines 53-57] provided with registration means for previously registering each information provider and a keyword so as to allow the information and the keyword to correspond to each other [col. 3, lines 45-48; col. 6, line 58 – col. 7, line 37; col. Lines 4-47];

retrieval means for retrieving said keyword from said demand information [28 & 29, Fig. 3; col. 5, lines 44-51; col. 10, lines 3-10];

delivery destination determination means for determining said information provider as a delivery destination of said demand information, said information provider being registered in said registration means while corresponding to the keyword when the keyword is retrieved from the demand information [30, Fig. 3; col. 5, lines 52-58]; and

transmission means for transmitting information to said information demander, the information being provided from the information provider determined by said delivery destination determination means and corresponding to said demand information [col. 5, line 66 – col. 6, line 27; col. 8, line 59 – col. 9, line 3].

5. As per claim 2, Berke teaches the invention as claimed including a mediate apparatus for mediating demand information to an information provider, the demand information being input to a portable terminal with regard to an article by an information demander [col. 1, lines 5-12; col. 2, lines 38-45; col. 4, line 66 – col. 5, line 2], comprising:

Art Unit: 2154

registration means for previously registering each information provider [12, 14, Fig. 1] and a keyword so as to allow the information and the keyword to correspond to each other [col. 3, lines 45-48; col. 6, line 58 – col. 7, line 37; col. 9, lines 4-47];

retrieval means for retrieving said keyword from said demand information; delivery destination determination means for determining said information provider as a delivery destination of said demand information, said information provider being registered in said registration means while corresponding to the keyword when said keyword is retrieved from the demand information [28 & 29, Fig. 3; col. 5, lines 44-51; col. 10, lines 3-6]; and

transmission means for transmitting information to said information demander, the information being provided from the information provider determined by said delivery destination determination means and corresponding to said demand information [col. 5, line 66 – col. 6, line 27; col. 8, line 59 – col. 9, line 3].

- 6. As per claim 3, Berke teaches the mediate apparatus is included in a computer system operating as a server and comprises demand information reception means for receiving said demand information [col. 4, lines 31-40].
- 7. As per claim 4, Berke teaches the providing information reception means for receiving information, the information being provided from the information provider determined by said delivery destination determination means and corresponding to said demand information [col. 4, lines 31-40].

Art Unit: 2154

- 8. As per claims 5-6, since they are apparatus claim of claim 1, it is rejected for the same basis as claim 1 above.
- 9. As per claims 7, 12 and 17, since they are method, apparatus and program claims of claim 1, they are rejected for the same basis as claim 1 above.
- 10. As per claim 8, Berke teaches the invention as claimed including a method, in which an information demander obtains article information from an information provider [12, 14, Fig. 1] via a mediate apparatus [col. 1, lines 5-12], comprising the steps of:

inputting a desire for an article to a potable terminal as demand information potentially including a keyword by the information demander [col. 3, lines 23-25; col. 4, line 66 – col. 5, line 2]; and

receiving information from said information provider, the information being provided from the information provider and corresponding to said demand information [col. 5, line 66 – col. 6, line 27; col. 8, line 59 – col. 9, line 3].

- 11. As per claim 9, Berke teaches the demand information is at least one of character information, image information and voice information [col. 5, line 66 col. 6, line 27].
- 12. As per claim 10, Berke teaches the demand information is transmitted to said

Application/Control Number: 09/874,982 Page 6

Art Unit: 2154

mediate apparatus together with terminal identification information [col. 6, lines 24-27; col. 7, line 66 – col. 8, line 5].

- 13. As per claim 11, Berke teaches the terminal identification information is at least one of a telephone number, a mail address and an account (a user ID) [i.e., user account and related information in the server, col. 4, line 66 col. 5, line 11].
- 14. As per claims 13-16, since they are program claims of claims 8-11, they are rejected for the same basis as claims 8-11 above.
- 15. As per claims 18-21, since they are program claims of claim 8-11, they are rejected for the same basis as claims 8-11 above.

## Conclusion

16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Belfiore et al. (US 6,009,459) discloses an automatic searching system;

Theriault et al. (US 6,049,821) discloses proxy server system;

Gupta et al. (US 6,374,305) discloses a web application system;

Schneider (US 6,338,082) discloses a requesting system; and

Kawano et al. (US 6,697,836) discloses a client-server system.

Art Unit: 2154

Page 7

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jinsong Hu whose telephone number is (703) 306-5932. The examiner can normally be reached on 8:00 AM - 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John A. Follansbee can be reached on (703) 305-8498. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jinsong Hu

September 15, 2004

JOHN FOLLANSBEE

TECHNOLOGY CENT